## PATENT COOPERATION TREATY

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

			jent's file reference	FOR FURTHER	ACTION	See Notificati	on of Transmittal of International
P 03 091 WO				Preliminary E	xamination Report (Form PCT/IPEA/416)		
		International filing dat 29.11.2004	te (day/mon	th/year)	Priority date (day/month/year) 28.11.2003		
Inter	nation	al Pat	ent Classification (IPC) or b	ooth national classificatio	n and IPC		
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Appl	icant						
REC	CCAT	T AP	S et al.				
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1.	This Autl	s inter	national preliminary exa and is transmitted to the	mination report has be	en prepai	red by this into	ernational Preliminary Examining
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2.	ınıs	REP	ORT consists of a total	of 5 sheets, including	this cover	sheet.	
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		Dee	n amended and are the Rule 70.16 and Section	vasis for this report ar	ng <i>i</i> or sheet	is containing r	Octifications made before this Authorit
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3.	This	repo	rt contains indications re	lating to the following	items:		
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•	111		•	opinion with regard to	novelty, in	ventive sten a	and industrial applicability
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	VI		Certain documents cite	ed			
	VII		Certain defects in the i	nternational applicatio	n		
	VIII		Certain observations o	n the international app	olication		
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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/DK2004/000829

<ol> <li>Basis of the repo</li> </ol>	l_	Basis	of the	repo	d
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**Description, Pages** 

1. With regard to the elements of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

	1-4	3	as originally filed
	Cla	ims, Numbers	
	2-1	2, 14-79	as originally filed
	1, 1	3	received on 28.09.2005 with letter of 28.09.2005
	Dra	wings, Sheets	
	1/27	7-27 <i>1</i> 27	as originally filed
2.			age, all the elements marked above were available or furnished to this Authority in the ernational application was filed, unless otherwise indicated under this item.
	The	se elements were av	ailable or furnished to this Authority in the following language: , which is:
		the language of a tra	inslation furnished for the purposes of the international search (under Rule 23.1(b)):
		the language of publ	ication of the international application (under Rule 48.3(b)).
	□	the language of a tra Rule 55.2 and/or 55.3	inslation furnished for the purposes of international preliminary examination (under 3).
3.	Witl inte	n regard to any <b>nucle</b> rnational preliminary	otide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:
		contained in the inte	rnational application in written form.
		filed together with the	e international application in computer readable form.
		furnished subsequer	ntly to this Authority in written form.
		furnished subsequer	ntly to this Authority in computer readable form.
			he subsequently furnished witten sequence listing does not go beyond the disclosure pplication as filed has been furnished.
		The statement that the listing has been furnited	he information recorded in computer readable form is identical to the written sequence ished.
4.	The	amendments have re	esulted in the cancellation of:
		the description,	pages:
		the claims,	Nos.:
		the drawings,	sheets:

#### INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

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This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)).

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

4 7 12 14 23 24 25 28 29 31 32 37 38 41-46 48-55 59

61-66 69-72 74-79

Claims No:

1-3, 6, 8-11 13 15 16 17 18 19 20 21 22 26 27 30 33 34 35 36 39 40 47 56-58 60 67 68 73 76

Inventive step (IS)

Yes: Claims

No: Claims

1-79

Industrial applicability (IA)

Yes: Claims

1-79

Claims No:

2. Citations and explanations

see separate sheet

### **EXAMINATION REPORT - SEPARATE SHEET**

#### Re Item V

Reasoned statement with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Reference is made to the following document/s/:

- D1: DE 44 14 904 C1 (MERCEDES-BENZ AKTIENGESELLSCHAFT, 70327 STUTTGART, DE) 27 April 1995 (1995-04-27)
- D2: PATENT ABSTRACTS OF JAPAN vol. 1996, no. 11, 29 November 1996 (1996-11-29) & JP 08 177466 A (COSMO SOGO KENKYUSHO:KK; COSMO OIL CO LTD), 9 July 1996 (1996-07-09)
- D3: PATENT ABSTRACTS OF JAPAN vol. 2003, no. 12, 5 December 2003 (2003-12-05) & JP 2003 328736 A (FUJI HEAVY IND LTD), 19 November 2003 (2003-11-19)
- D4: DE 199 55 013 A1 (VOLKSWAGEN AG) 17 May 2001 (2001-05-17)
- D5: US-A-5 335 492 (ZIRKEL ET AL) 9 August 1994 (1994-08-09)
- D6: DE 101 37 050 A1 (ROBERT BOSCH GMBH) 28 February 2002 (2002-02-28)

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of independant method claim 1 and corresponding apparatus and use claims 13 and 73 is not new in the sense of Article 33(2) PCT.

The document D5, fig.2 discloses (the references in parentheses applying to this document) and in the wording of claim 1 a method for treatment of a fluid quantity including chemical reacting means such as combustible materials above a certain minimum quantity in a high heat capacity catalytic device, said method comprises the steps of entering said fluid quantity into the catalytic device (col.1, l. 14-44) through an inlet, controlling the temperature in one or more passage sections of said catalytic device including at least one reaction passage section, said high heat capacity being established by high mass of the device (see col.2 I. 35-47) in relation to the mass flow of the fluid, said device including heat transferring rods, plates and/or substantially parallel pipes at a number between 20 and 5000 (col. I.53), and emitting the treated fluid quantity from the catalytic device through an outlet.

Therefore the subject-matter of independant method claim 1 is not new in the sense of

# INTERNATIONAL PRELIMINARY International application No. PCT/DK2004/000829 EXAMINATION REPORT - SEPARATE SHEET

Article 33(2) PCT and the same reasoning applies, mutatis mutandis, to the subject-matter of the corresponding apparatus and use claims 13 and 73.

Dependent claims 2-12, 14-72 and 74-79 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty or inventive step, see documents D1 to D4 and D6 and the corresponding passages cited in the search report.

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History.

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#### Claims

1. Method for treatment of a fluid quantity including chemical reacting means such as combustible materials above a certain minimum quantity in a high heat capacity catalytic device, said method comprises the steps of

entering said fluid quantity into the catalytic device through an inlet,

controlling the temperature in one or more passage sections of said catalytic device including at least one reaction passage section, said high heat capacity being established by high mass of the device in relation to the mass flow of the fluid, said device including heat transferring rods, plates and/or substantially parallel pipes at a number between 20 and 5000,

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emitting the treated fluid quantity from the catalytic device through an outlet.

- 2. Method according to claim 1 wherein the temperature directly or indirectly controls the opened or closed position of at least one valve in said catalytic device.
  - 3. Method according to claim 2 wherein said at least one valve controls the flow path of the fluid in said catalytic device.
  - 4. Method according to claim 2 or 3 wherein said at least one valve opens or closes a connection between said at least one reaction passage section and the outlet as a result of the temperature.

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- 5. Method according to any of claims 2 to 4 wherein said at least one valve opens or closes in response to the temperature of the fluid flowing by temperature dependent connection means in said at least one valve.
- Method according to claim 5 wherein the fluid always flows through, by or in the proximity of the temperature dependent connection means.
  - 7. Method according to any of claims 1 to 6 wherein a valve control signal is established by measuring the temperature inside one or more of said passage sections, one or more turning chambers and/or said inlet.
    - 8. Method according to claim 7 wherein the valve control signal is established on the basis of the temperature difference between one or more of said passage sections, one or more turning chambers and/or said inlet.

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- 9. Method according to claim 7 or 8 wherein the valve control signal is established in relation to a predefined temperature threshold signal.
- Method according to any of claims 1 to 9 wherein said at least one reaction passage sections heat exchange with a main heat transfer passage section, and/or where said at least one reaction passage sections heat exchange with one or more preceding inlet passage sections and/or one or more succeeding outlet passage sections.
- 25 11. Method according to any of claims 1 to 10 wherein the fluid quantity is directed through the succeeding passage sections in counterflow.
  - 12. Method according to any of claims 1 to 11 wherein further combustible material is added directly or indirectly to the catalytic device.

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- Catalytic device (1) for treatment of a fluid quantity including chemical 13. reacting means such as combustible materials above a certain minimum quantity, said device comprising
- at least one inlet (2) and outlet (8) for said fluid quantity, and 5

one or more passage sections (3, 5, 11, 22) including at least one reaction passage section comprising catalytic material (4) of one or more kinds,

characterised in that 10

> said device further comprises integrated heat transfer means for controlling the temperature in one or more of said at least one passage sections (3, 5, 11, 22, 42),

said means control the temperature by high heat capacity established by high mass of the device in relation to the mass flow of the fluid, and

- said means includes heat transferring rods, plates (37) and/or substantially parallel pipes at a number between 20 and 5000. 20
  - Catalytic device (1) according to claim 13, characterised in 14. that said catalytic device comprises one passage section (42).
- Catalytic device (1) according to claim 13 or 14, c h a r a c t e r i s e d 25 15. that said means includes heat transferring rods and/or plates (37) e.g. between 20 and 5000 rods preferably between 50 and 1000 rods and/or between 5 and 1000 plates preferably between 10 and 200 plates.
- Catalytic device (1) according to claim 15, characterised in 30 16. that said heat transferring rods and/or plates (37) are made of a material

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with god heat transferring qualities such as cobber, steel, aluminium or other metals.

- 17. Catalytic device (1) according to claim 13, c h a r a c t e r i s e d i n
  t h a t said catalytic device comprises at least two passage sections (3, 5,
  11, 22).
- 18. Catalytic device (1) according to any of claims 13 to 17, characterised in that said means control the temperature by high heat capacity established by high mass of the device in relation to the mass flow of the fluid.
  - 19. Catalytic device (1) according to any of claims 13 or 18, characterised in that said device includes at least one outer layer of insulating (13).
- 20. Catalytic device (1) according to any of claims 17 to 19, c h a r a c t e r i s e d i n t h a t said means include positioning, of said passage sections (3, 5, 11, 22) in order to form at least one internal heat exchange (h) with mutual heat exchange between the sections (3, 5, 11, 22).
  - 21. Catalytic device (1) according to any of claims 17 to 20, character is ed in that said means for controlling the temperature includes at least one temperature controlled valve (26).
  - 22. Catalytic device (1) according to any of claims 17 to 21, characterised in that said catalytic device comprises three passage sections (3, 5, 11, 22).

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- Catalytic device (1) according to any of claims 17 23. characterised in that said catalytic device comprises four passage sections (3, 5, 11, 22).
- Catalytic device (1) according to claim 23, characterised in 24. 5 that said fourth passage section (22) is a last outlet passage section surrounding the previous passage sections (3, 5, 11, 22).
- Catalytic device (1) according to any of claims 21 to 25. characterised in that at least one turning chamber (9) 10 between two of said passage sections (3, 5) comprises a connection to the outlet (7,8), such as an exhaust pipe section (28), controlled by said at least one temperature controlled valve (26).
- Catalytic device (1) according to any of claims 21 26. 15 characterised in that each of said at least one temperature controlled valve (26) comprises a closing member (31) and temperature dependent connection means (29) connecting said closing member and an anchoring point (30).

20 Catalytic device (1) according to claim 26, characterised in 27. that said temperature dependent connection means (29) is a spring made in bimetal or a similar temperature dependent material.

Catalytic device (1) according to claim 26 or 27, c h a r a c t e r i s e d 28. 25 that said temperature dependent connection means (29) partly or totally is positioned in the outlet e.g. in an outlet pipe (8) such as the outlet passage sections (22), valve pipe section (27), exhaust pipe section (28) or the outlet pipe section (25).

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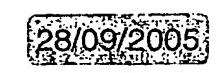
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- 29. Catalytic device (1) according to claim 28, c h a r a c t e r i s e d i n t h a t said outlet pipe (8) comprises a valve pipe section (27) including at least one valve, an outlet pipe section (25) connected to the outlet chamber (7), in which both pipe sections are connected to said exhaust pipe section (28).
- 30. Catalytic device (1) according to any of claims 26 to 29, c h a r a c t e r i s e d i n t h a t said temperature dependent connection means (29) partly or totally is positioned in proximity of the connection between said pipe sections (25, 27) or in the exhaust pipe section (28).
- 31. Catalytic device (1) according to any of claims 21 to 30, characterised in that said device includes temperaturemeasuring means (33, 36) measuring the temperature inside one or more of said passage sections, one or more turning chambers and/or said inlet.
- that valve control means (34) controls the position of said at least one temperature controlled valve (26) on the basis of temperature values from said temperature-measuring means (33, 36).
- Catalytic device (1) according to any of claims 17 to 32, c h a r a c t e r i s e d i n t h a t said at least one reaction passage sections establishes a heat exchanger with a main heat transfer passage section, and/or said at least one reaction passage sections establishes a heat exchanger with one or more preceding inlet passage sections and/or one or more succeeding outlet passage sections.
- 30 34. Catalytic device (1) according to claim 33, c h a r a c t e r i s e d i n t h a t said one or more inlet passage sections (11) is positioned above,



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alongside or outside said reaction passage section (3) e.g. by surrounding said section.

- Catalytic device (1) according to claim 33, c h a r a c t e r i s e d i n t h a t said one or more outlet passage sections (22) is positioned above, alongside or outside said reaction passage section (3) e.g. by surrounding said section.
- 36. Catalytic device (1) according to any of claims 33 to 35, c h a r a c t e r i s e d i n t h a t said reaction passage section (3) is positioned above, alongside or outside said main heat transfer passage section (5) e.g. by surrounding said section.
- 37. Catalytic device (1) according to any of claims 33 to 36, c h a r a c t e r i s e d i n t h a t said reaction passage section (3) heat exchanges with said main heat transfer passage section (5) of said at least two passage sections (3, 5, 11, 22).
- 20 Catalytic device (1) according to claim 37, c h a r a c t e r i s e d i n
  that said reaction passage section (3) heat exchanges with said main heat
  transfer passage section (5) in counterflow.
- 39. Catalytic device (1) according to any of claims 33 to 38, c h a r a c t e r i s e d i n t h a t said reaction passage section (3) heat exchanges with said one or more previous inlet and/or succeeding outlet passage sections (11, 22).
- 40. Catalytic device (1) according to claim 39, c h a r a c t e r i s e d i n t h a t said reaction passage section (3) heat exchanges with said one or more inlet passage sections (11) in counterflow.

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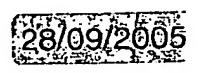
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41. Catalytic device (1) according to any of claims 33 to 40, characterised in that said reaction passage section (3) heat exchanges with said one or more outlet passage sections in concurrent flow.

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42. Catalytic device (1) according to any of claims 17 to 41 characterised in that said device comprises at least one layer of insulation (12) between said at least two passage sections (3, 5, 11, 22).

- 43. Catalytic device (1) according to claim 42, c h a r a c t e r i s e d i n t h a t said at least one layer of insulation (12) is positioned between said reaction passage section (3) and said one or more inlet passage sections (11).
- 15 44. Catalytic device (1) according to any of claims 33 to 43, c h a r a c t e r i s e d i n t h a t the cross-sectional area of said reaction passage section (3) is between 0.5 and 100 times, such as between 10 and 25 times, preferably about 20 times, the cross-sectional area of said main heat transfer passage section (5) and/or said inlet or outlet passage sections (11, 22) are between 0.5 and 100 times, the cross-sectional area of said main heat transfer passage section (5).
- characterised in that the cross-sectional area of the main heat transfer passage section (5) is between 0.5 and 10 times, such as 1.5 to 2.5 times, preferably about 2 times, the cross-sectional area of the inlet (2) of the catalytic device, said inlet pipe (2) being the exhaust pipe for the connected internal combustion engine.
- 30 46. Catalytic device (1) according to any of claims 13 to 45, characterised in that at least one of said passage



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sections (3, 5, 11, 22) comprises one or more wall flow filters (21) with numerous porous walls (16) allowing fluid quantity (15) to penetrate through the walls.

- 5 47. Catalytic device (1) according to any of claims 13 to 46, c h a r a c t e r i s e d i n t h a t said at least one passage sections, such as said main heat transfer passage section (5), comprises one or more substantially parallel pipes.
- 10 48. Catalytic device (1) according to claim 47, c h a r a c t e r i s e d i n t h a t said main heat transfer passage section (5) is integrated as a number of pipes in said reaction passage section (3).
- 49. Catalytic device (1) according to claim 47 or 48, c h a r a c t e r i s e d

  i n t h a t said number of pipes is between 20 and 5000 pipes and

  preferably between 50 and 1000 pipes.
- 50. Catalytic device (1) according to any of claims 47 to 49, characterised in that said pipes form symmetrical patterns such as triangular, quadrangular or similar patterns or random patterns.
- 51. Catalytic device (1) according to any of claims 47 to 50, character is ed in that said pipes is surrounded by catalytic material (4) deposited on one or more carrier means (17-21).
  - 52. Catalytic device (1) according to any of claims 47 to 51, c h a r a c t e r i s e d i n t h a t said pipes comprise a circular, an oval, a triangular, a four-sided or any similar regular or irregular cross sectional shape.

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53. Catalytic device (1) according to any of claims 13 to 52, c h a r a c t e r i s e d i n t h a t at least one passage sections, such as said main heat transfer passage section (5), comprises one or more lamellar plates.

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54. Catalytic device (1) according to claim 53, c h a r a c t e r i s e d i n t h a t said one or more lamellar plates form non-circular canals e.g. with a cross sectional shape formed by triangles, four sided shapes, combinations hereof or similar shapes.

- 55. Catalytic device (1) according to claim 53 or 54, c h a r a c t e r i s e d i n t h a t indentations in the surface of said one or more lamellar plates form longitudinal or diagonal patterns.
- 15 56. Catalytic device (1) according to any of claims 13 to 55, c h a r a c t e r i s e d i n t h a t said catalytic material (4) is deposited on one or more carrier means (17-21) in at least one of said at least one passage sections (3, 5, 11, 22, 42).
- 20 57. Catalytic device (1) according to claim 56, c h a r a c t e r i s e d i n t h a t said one or more carrier means (17-21) are made in metal, ceramic, glass or other heat resistant materials as well as combinations of the mentioned materials.
- 25 58. Catalytic device (1) according to claim 56 or 57, c h a r a c t e r i s e d i n t h a t said one or more carrier means (18) include at least one shape such as spherical, cylindrical or quadrangular shapes as well as saddle, ring, regular or irregular shapes.
- 30 59. Catalytic device (1) according to any of claim 56 to 58, characterised in that said one or more carrier means

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(17-21) include a number of regular or irregular pellets or balls (18) in layers (L) across one of said passage sections, each layer being positioned perpendicularly between two adjacent pipes, and each of said layers comprising 2 to 6 pellets, such as 2 to 4 and preferably between 2 and 3.

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- 60. Catalytic device (1) according to any of claims 56 to 59, character is ed in that said one or more carrier means (17-21) include monoliths (19, 21) or fibres (17, 20).
- 10 61. Catalytic device (1) according to claim 60, c h a r a c t e r i s e d i n t h a t said fibres (17, 20), deposit with said catalytic material form a tangled bundle of fibres partly or totally filling one or more of said passage sections.
- 15 62. Catalytic device (1) according to claim 60 or 61, c h a r a c t e r i s e d i n t h a t said monoliths (19, 21) or fibres (17, 20), deposit with said catalytic material (4) form longitudinal monoliths or fibres inside one or more of said at least one passage sections (3, 5, 11, 22, 42).
- 20 63. Catalytic device (1) according to any of claims 56 to 62, c h a r a c t e r i s e d i n t h a t said reaction passage section (3) of said at least one passage sections (3, 5, 11, 22, 42) comprises one or more kinds of said catalytic material (4) deposit on said carrier means (17-21).
- 25 64. Catalytic device (1) according to any of claims 56 to 63, c h a r a c t e r i s e d i n t h a t said one or more inlet and/or outlet passage sections (11, 22) of said at least two passage sections (3, 5, 11, 22) comprises one or more kinds of said catalytic material (4) deposit on said carrier means (17-21).

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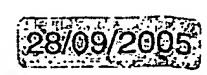
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- 65. Catalytic device (1) according to any of claims 56 to 64, c h a r a c t e r i s e d i n t h a t said at least one passage sections (3, 5, 11, 22, 42) comprise combined carrier means including wall flow filters (21), fibres (17, 20), pellets or balls (18) and/or monoliths (19) e.g. 1/3 passage section as wall flow filters and the rest of the section as fibres, pellets or balls or monoliths.
- 66. Catalytic device (1) according to any of claims 56 to 65, c h a r a c t e r i s e d i n t h a t said combined carrier means are positioned in continuation of each other through one or more of said at least one passage sections (3, 5, 11, 22, 42).
- 67. Catalytic device (1) according to any of claims 56 to 66, c h a r a c t e r i s e d i n t h a t said catalytic material (4) includes metal or metal alloys from the Platinum metal group such as Platinum (Pt), Palladium (Pl), Rhodium (Rh) or combinations hereof.
- characterised in that said catalytic material (4) includes metal oxides such as Gold (Au), Platinum (Pt), Silver (Ag), Aluminium (Al), Lead (Pb), Zirconium (Zr), Copper (Cu), Cobalt (Co), Nickel (Ni), Iron (Fe), Cerium (Ce), Chrome (Cr), Tin (Sn), Manganese (Mn) and Rhodium (Rh) Oxides or combinations hereof.
- 25 69. Catalytic device (1) according to claim 67 or 68, c h a r a c t e r i s e d i n t h a t said catalytic material (4) includes combinations of metal or metal alloys from the Platinum metal group and metal oxides.
- 70. Catalytic device (1) according to any of claims 13 to 69 c h a r a c t e r i s e d i n t h a t further combustion material is added to the catalytic device, e.g. through a fuel line (S4) connected to the





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fuel tank and the fuel supplying means (S1), or through adding further combustion material to the fluid quantity.

- 71. Catalytic device (1) according to any of claims 13 to 70 c h a r a c t e r i s e d i n t h a t material establishing a high temperature is added to the catalytic device in order to clean said catalytic device e.g. through adding combustible gas to the fluid quantity.
- 72. Catalytic device (1) according to any of claims 13 to 70 c h a r a c t e r i s e d i n t h a t at least one of said at least one passage sections (3, 5, 11, 22, 42) comprises at least one cleaning area (40) free of rods, plates or pipes.
- 73. Use of a method for treatment of a fluid quantity comprising chemical reacting means such as combustible materials above a certain minimum quantity in a catalytic device according to any of claims 1 to 12 for cleaning exhaust gas from internal combustion engines.

- 74. Use of a method for treatment of a fluid quantity comprising chemical reacting means such as combustible materials above a certain minimum quantity in a catalytic device according to any of claims 1 to 12 for temperature regulation or control in connection with any exothermal or endothermal chemical reaction in an industrial chemical application.
- 25 75. Use of a method for treatment of a fluid quantity comprising chemical reacting means such as combustible materials above a certain minimum quantity in a catalytic device according to any of claims 1 to 12 for temperature regulation or control in or in connection with fuel cells.



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- 76. Use of a catalytic device according to any of claims 13 to 72 in connection with combustion engines in vehicles such as engines fuelled by petrol, diesel, natural gas, bottled gas or any gaseous, liquid or solid fuels.
- Use of a catalytic device according to any of claims 13 to 72 in connection with stationary combustion engines such as engines fuelled by petrol, diesel, natural gas, bottled gas or any gaseous, liquid or solid fuels such as in power plants e.g. combined heat and power plants.
- 10 78. Use of a catalytic device according to any of claims 13 to 72 in connection with any exothermal or endothermal chemical reaction in an industrial application.
- 79. Use of a catalytic device according to any of claims 13 to 72 in temperature regulation or control in or in connection with fuel cells.